

# **Electricity Wholesaler Licence Application**

# **CP Energy Marketing L.P.**

Website: capitalpower.com

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1. Application Type
1. (a) Application Type
○ New
1. (b) Licence Number
EW-2019-0220
1. (c) Expiry Date
November 06, 2024
2. The Applicant
2. (a) Legal Name of the Applicant
CP Energy Marketing L.P.
2. (b) Business Classification
O Sole Proprietorship ✓ Partnership O Corporation O Other
2. (c) Date of Formation or Incorporation
January 01, 1998
2. (d) Province/State of Formation or Incorporation  Alberta
2. (e) Country of Formation or Incorporation
Canada
2. (f) If the applicant is an individual, are they at least 18 years old?
If the applicant is an individual, the applicant must be at least 18 years old.
○ Yes    ○ No
2. (g) Head Office or Business Address of the Applicant
Street Address: 1200 10423 101 Street
City: Edmonton
Province/State: Alberta
Country: Canada  Postal/Zip Code: T5H 0F9

#### **Main Phone Number and Email Address**

Phone Number: 780-392-5100

Email Address: notices@capitalpower.com

#### 2. (h) Please describe the applicant's current or intended line of business and business activities.

CP Energy Marketing (US) Inc imports and exports physical power, with some virtual power, congestion, swap, and point to points

trading.

## 3. Licence Primary Contact

The licensee shall designate a person who will act as a primary contact with the Ontario Energy Board (OEB) on matters related to the licence.

#### 3. (a) Licence Primary Contact

Salutation: Ms.
Last Name: Kacem
First Name: Hajar

Title/Position: Director, Regulatory Compliance

Company: Capital Power Corporation

Phone Number: 403-465-3160

Email Address: hkacem@capitalpower.com

3. (b) Is the Licence Primary Contact address the same as the Head Office or Busines	ess address
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O Yes 
✓ No

#### **Licence Primary Contact Address**

Street Address: Suite 2500, 215 2 Street SW

City: Calgary

Province/State: Alberta

Country: Canada

Postal/Zip Code: T2P 1M4 Website: capitalpower.com

## 4. Application Primary Contact

The primary contact for the licence application may be a person within the applicant's organization other than the licence primary contact noted above. An applicant may also choose to designate a consultant, lawyer, etc. to be the primary contact for the licence application. The OEB will communicate with this person during the course of the application review process, but with the licence primary contact after a licence is issued.

#### 4. (a) Is the Application Primary Contact the same as the Licence Primary Contact?

O Yes ✔ No

#### 4. (b) Application Primary Contact

Salutation: Mrs. Last Name: Andrews First Name: Angie

Title/Position: Compliance Coordinator Company: Capital Power Corporation

Phone Number: 780-392-5125

Email Address: aandrews@capitalpower.com

**Application Primary Contact Address** 

Street Address: 1200 10423 101 street

City: Edmonton

Province/State: Alberta

Country: Canada

Postal/Zip Code: T5H 0E9 Website: capitalpower.com

## 5. Trade Names

The electricity wholesaler licence authorizes the licensee to conduct business using the name under which the licence is held (i.e. the applicant's legal name). It also provides for the use of trade names by the licensee.

5 (a) Does the applicant intend to use trade names	
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Yes



## 6. Applicant's Licensing Status and History

6. (a) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) ever been licensed by the OEB?

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Yes



The Business Corporations Act definition for "affiliate" can be found at www.e-laws.gov.on.ca.

#### If yes, please provide current and expired licences.

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	Licence Number
CP Energy Marketing L.P.	Applicant	EW-2001-0801
CP Energy Marketing L.P.	Applicant	GM-2001-0799
CP Energy Marketing L.P.	Applicant	EW-2006-0320
CP Energy Marketing L.P.	Applicant	ER-2006-0290

Licensee Name	Relation to the Applicant (e.g. applicant itself, affiliate, partner, etc.)	LicenceNumber
CP Energy Marketing L.P.	Applicant	GM-2006-0291
Goreway Station Partnership	Affiliate	EG-2006-0272
CP Renewable energy (Kingsbridge)	Affiliate	EG-2006-0273
East Windsor Cogeneration L.P.	Affiliate	EG-2007-0603
York Energy Centre LP	Affiliate	EG-2009-0242
Captial power (PDN) L.P.	Affiliate	EG-2013-0251

6. (b) Does the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership	ship) have
any other application(s) before the OEB?	

<b>②</b>	Yes	0	No
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If yes, please provide other applications.

Applicant Name	Relation to the Applicant	Type of Application	OEB File Number
EAST WINDSOR (EXPANSION) L.P.	Affiliate	Electrical Generator	EGLA00065
GOREWAY (BATTERY) L.P.	Affiliate	Electrical Storage	ESLA00005
YORK (BATTERY) L.P.	Affiliate	Electrical Storage	ESLA00006

6. (c) Has the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) even
undertaken energy sector activity in any other jurisdiction within North America?

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0	Yes	$\circ$	No

If yes, please provide information about activities in other jurisdictions.

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (if applicable)
CP Energy Marketing L.P.	Applicant	Alberta	Energy Marketing	NEB
Capital Power (Alberta) Limited Partnership	Affiliate	Alberta	Power Generation	AUC
Capital Power (CBEC) L.P.	Affiliate	Alberta	Power Generation	AUC

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (ifapplicable)
Capital Power (Enchant Solar) LP	Affiliate	Alberta	Power Generation	AUC
Capital Power (Genesee) LP	Affiliate	Alberta	Power Generation	AUC
Captial Power (G3) Limited Partnership	Affiliate	Alberta	Power Generation	AUC
Capital Power (Ontario) LP	Affiliate	Alberta	Power Generation	AUC
Capital Power (Whitla) L.P.	Affiliate	Alberta	Power Generation	AUC
Capital Power (Halkirk 2) LP	Affiliate	Alberta	Power Generation	AUC
Halkirk 1 Wind Project LP	Affiliate	Alberta	Power Generation	AUC
EnPower Green Energy Generation LP	Affiliate	British Columbia	Power Generation	BCUC
V.I. Power Limited Partnership	Affiliate	British Columbia	Power Generation	BCUC
Arlington Valley, LLC (Arizona)	Affiliate	Arizona	Power Generation	FERC
Beaufort Solar, LLC (North Carolina)	Affiliate	North Carolina	Power Generation	FERC
Buckthorn Wind Project LLC (Texas)	Affiliate	Delaware	Power Generation	PUCT
Cardinal Point LLC (Illinois)	Affiliate	Illinois	Power Generation	FERC
CP Bloom Wind LLC (Kansas)	Affiliate	Delaware	Power Generation	FERC
CP Energy Marketing (US) Inc. (Various States)	Affiliate	Various States	Energy Marketing	FERC
CXA La Paloma (California)	Affiliate	California	Power Generation	FERC
Decatur Energy Center, LLC (Alabama)	Affiliate	Alabama	Power Generation	FERC

Company Name	Relation to the Applicant	Jurisdiction	Business Activity	Name of Licensing Body and Licence/Registration No. (ifapplicable)
Frederickson Power LP (Washington)	Affiliate	Washington	Power Generation	FERC
New Harquahala Generating Company, LLC (Arizona)	Affiliate	Delaware	Power Generation	FERC
Macho Springs Power I, LLC (New Mexico)	Affiliate	New Mexico	Power Generation	FERC
Meadowlark Wind I LLC (North Dakota)	Affiliate	Delaware	Power Generation	FERC
Midland Cogeneration Venture Limited Partnership	Affiliate	Michigan	Power Generation	FERC

6. (d) Is the applicant, an affiliate of the applicant, or an associated entity (e.g. a partnership or limited partnership) a
Independent Electricity System Operator (IESO) market participant?

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Yes



## 7. Officers, Directors and Key Individuals

7. (a) Please confirm the number of officers, directors and key individuals in your organization.

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7. (b) In the table below, identify the key individuals that are responsible for executing the following functions for the applicant: matters related to regulatory requirements and conduct, financial matters and technical matters.

Key individuals include the Chief Executive Officer, the Chief Financial Officer, other officers and directors, partners or proprietors.

## **NOTES:**

- 1. List a minimum of 3 key individuals in the table below. Additional information about each key individual is required in Section 13.
- 2. One of the listed key individuals must sign the completed application. See Section 15 for signing authority details.

Name of Key Individual	Email	Title/Position within Applicant's Business (or identify company if not the Applicant's Business)
Jason Comandante	JCOMANDANTE@capitalpower.com	SVP, Head of Canada
Pauline McLean	pmclean@capitalpower.com	SVP, External Relations and Chief Legal Officer
Sandra Haskins	shaskins@capitalpower.com	SVP, Finance and CFO

## 8. Intended Markets and Services

Identify the applicant's intended markets and services. Select any that apply.
8. (a) To purchase electricity or ancillary services in the IESO-administered markets.
Yes No
If yes, please select all that apply.
Energy Trader Consumer Other
8. (b) To sell electricity or ancillary services in the IESO-administered markets.
Yes No
If yes, please select all that apply.
Energy Trader Consumer Other
8. (c) Other Markets and Services. Select all that apply.
To purchase electricity or ancillary services directly from generator.
To sell electricity or ancillary services to wholesalers.
To sell electricity or ancillary services to distributors (i.e. standard supply services).
To sell electricity or ancillary services to electricity retailers.
To sell electricity or ancillary services to persons outside of the Ontario Market.
To sell electricity to a consumer, defined as a person who uses for the person's own consumption, electricity that the person did not generate. If the applicant selects this item, the applicant may require a retailer licence. The electricity retailer application form along with information regarding when a retailer licence is required can be found on OEB's <a href="Apply for a licence">Apply for a licence</a> page. If required, the electricity retailer application should be filed as soon as possible.
CONFIDENTIAL SECTIONS

The Ontario Energy Board Act, 1998, (OEB Act), defines "ancillary services" as services necessary to maintain the reliability of the

IESO-controlled grid, including frequency control, voltage control, reactive power and operating reserve services.

#### CONFIDENTIAL SECTIONS

Information filed as part of or in support of sections 9 to 13 of this application will be treated as confidential and is not available for public view.

#### 14. Notice

The OEB is authorized, under section 4.14 of the OEB Act, to collect personal information for the purpose of carrying out its duties and exercising its powers under the OEB Act or any other Act.

The information provided both on this form and attached to this form is being collected by the OEB for the purpose of determining whether the applicant is qualified to receive the licence for which it is applying.

In order to verify the information on this form and/or determine whether the applicant is qualified to receive the licence for which it is applying, it may be necessary for the OEB to collect additional information from some or all of the following sources: federal, provincial/state, or municipal governments; licensing bodies; law enforcement agencies; credit bureaus; and banks. Only information relevant to the application or the OEB's determination of the application will be collected by the OEB.

The public official who can answer questions about the collection of the information is:

Registrar Ontario Energy Board P.O. Box 2319 2300 Yonge Street, 27th Floor Toronto, ON M4P 1E4

## Tel: 416-481-1967 or 1-888-632-6273

Applicants are reminded that the OEB is subject to the <u>Freedom of Information and Protection of Privacy Act</u> (FIPPA). FIPPA addresses circumstances in which the OEB may, upon request, be required to release information that is in its custody or under its control, and generally prohibits the OEB from releasing personal information. "Personal Information" has the meaning given to it under FIPPA.