



Ontario
Energy
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BY EMAIL

April 29, 2024

Abiola Adebayo
Energy Sourcing Manager
Umicore Battery Materials Canada Inc.
216 Main Street Unit 1
Bath, ON K0H 1G0
Abiola.adebayo@am.umicore.com

Dear Mr. Adebayo:

**Re: Umicore Battery Materials Canada Inc. (Umicore)
Application for an Electricity Wholesaler Licence
File: EB-2024-0152**

The Ontario Energy Board (OEB) received Umicore's application for an electricity wholesaler licence on April 24, 2024. The OEB has assigned file number EB-2024-0152 to the application.

Upon preliminary review of the application, OEB staff has determined it to be incomplete. Accordingly, please be advised that the application cannot be processed until the following missing information is filed:

1. Section 8(c): Intended Markets and Services

Please identify the applicant's other intended markets and services under this section, as the information is missing.

2. Section 10: Finance

Please re-submit the financial statements provided in the application, in English version. If the applicant is submitting consolidated financial statements from its parent, please submit a parental guarantee form.

A copy of the parental guarantee form is attached.

The OEB will process the application after the noted information is filed with the Registrar at registrar@oeb.ca. Please submit both an electronic Word version and an electronically signed, searchable PDF. If the above information is not filed within 30 days of the date of this letter, the OEB may close the file for this application.

Please note, Umicore is responsible for ensuring that the documents it files with the OEB, such as its evidence, responses to interrogatories and any other supporting documentation, do not include personal information (as that phrase is defined in the *Freedom of Information and Protection of Privacy Act*), unless filed in accordance with rule 9A of the OEB's *Rules of Practice and Procedure*.

Please direct any questions to Natasha Gocool, Advisor, Transmission Policy & Compliance at natasha.gocool@oeb.ca. Please refer to the OEB file number noted above in all future correspondence to the OEB regarding your application.

Yours truly,

John Pickernell
Manager, Applications Administration